



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/738,049	12/15/2000	David R. Kaplan	071957-0903	2323
23620	7590	07/16/2002		
FOLEY & LARDNER 402 WEST BROADWAY 23RD FLOOR SAN DIEGO, CA 92101			EXAMINER	
			GABEL, GAILENE	
			ART UNIT	PAPER NUMBER
			1641	
			DATE MAILED: 07/16/2002	10

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Applicant No.	Applicant(s)
	09/738,049	KAPLAN, DAVID R.
Examiner	Art Unit	
Gailene R. Gabel	1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 08 May 2002.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-61 is/are pending in the application.
- 4a) Of the above claim(s) 34-61 is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-33 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) 1-61 are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |  |  |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                    | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ . |
| 2) <input checked="" type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)  |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>6,7</u> . | 6) <input type="checkbox"/> Other: _____ .                                   |

## **DETAILED ACTION**

### ***Election/Restrictions***

1. Applicant's election, without traverse, of Group 1, claims 1-33, filed 4/24/02 in Paper No. 9 is acknowledged. Claims 34-61 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a non-elected invention. Currently, claims 1-61 are pending. Claims 1-33 are under examination.

### ***Drawings***

2. The drawings in this application are objected to by the Draftsperson. See PTO 948 attached.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1-33 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 step b) has improper antecedent basis problem in reciting, "in cells".

Change to "in the cells" for proper antecedent basis.

Claim 1 is incomplete for omitting essential steps, such omission amounting to a gap between the steps. See MPEP § 2172.01. Specifically, there is no correlation step

that correlates the detected signal in step d) with the presence of intracellular analyte as required by the preamble.

Claim 2 step b) has improper antecedent basis problem in reciting, "in cells".

Change to "in the cells" for proper antecedent basis.

Claim 2 is incomplete for omitting essential steps, such omission amounting to a gap between the steps. See MPEP § 2172.01. Specifically, there is no correlation step that correlates the detected signal in step d) with the presence of intracellular analyte as required by the preamble.

Claims 3-32 have improper antecedent basis problems in reciting, "A method according to claim ...".

Claim 5 step i) fails to recite a positive limitation in the claim is indefinite in reciting, "capable of".

Claim 5 step iii) has improper antecedent basis problem in reciting, "in cells".

Change to "in the cells" for proper antecedent basis.

Claim 18 step i) fails to recite a positive limitation in the claim is indefinite in reciting, "capable of".

Claim 32 is ambiguous in reciting, "said signal is correlated to a diagnosis of a disease in said patient" because it is unclear what structural and/or functional cooperative relationship exists between the "signal" and "diagnosis of a disease" in the instant claim and the "intracellular analyte" recited in claim 1 or 2 from which it ultimately depends.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-2, 5, 10, 14-18, 25-26, and 28-30 are rejected under 35 U.S.C. 102(b) as being anticipated by Karkmann et al. (Journal of Immunological Methods, 1999).

Karkmann et al. teach a method of detecting intracellular analyte, i.e. cytokines, in cells by flow cytometry using intracellular tyramine-based signal amplification technique (see Abstract and page 114, column 1). Karkmann et al. teach fixing peripheral blood mononuclear cells (PBMC) with formaldehyde, permeabilizing the cells with 0.5% saponin, and resuspending the cells in a buffer medium containing bovine serum albumin (BSA) and 0.5% saponin (see page 114, column 2 to page 115, column 1). Thereafter, Karkmann et al. teach staining the cells with fluorescein-labeled antibodies against the intracellular analyte which is linked to horseradish-peroxidase directly or indirectly by biotinylation, i.e. avidin-biotin, then adding tyramide substrate, wherein the peroxidase enzyme catalyzes the deposition of the tyramide in the cells comprising intracellular analyte (see page 115, column 2). According to Karkmann et al., the tyramine-based signal amplification technique results in a 10 to 15 fold improvement of the signal compared to standard flow cytometric techniques using fluorescent label making it possible to detect even weakly stained cells (see page 116, column 2, pages 117 and 119).

5. Claims 1-2, 5, 11-19, and 23-33 are rejected under 35 U.S.C. 102(b) as being anticipated by Lollini et al. (Immunological Blackboard, 1998).

Lollini et al. teach a method and kit for detecting intracellular analyte, i.e. oncosuppressor protein p53, in osteosarcoma cells wherein flow cytometric detection is performed after tyramide signal amplification (see Abstract). Lollini et al. teach culturing the cells in fetal bovine albumin (FBS), harvesting the cells, fixing the cells with methanol, and completely permeabilizing the cells with methanol or acetone. Thereafter, Lollini et al. resuspend the cells with analyte specific antibody, i.e. anti-p53, in a buffer medium containing BSA and TWEEN 20. In performing tyramide signal amplification, Lollini et al. teach incorporating a primary antibody against the intracellular analyte into the cells, and then adding thereto a horseradish peroxidase-conjugated F(ab')<sub>2</sub> anti-mouse IgG. Thereafter, the cells are resuspended in fluorescein tyramide substrate so that the peroxidase enzyme catalyzes the deposition of tyramide in the cells (see pages 1-2). According to Lollini et al., the tyramide signal amplification is an excellent system for the quantitative determination of intracellular antigens in cells by flow cytometry and is superior to standard flow cytometric assays, because of its capability to yield 10 to 15 stronger signal (see page 5).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

6. Claims 3-4, 6-9, are 20-22 rejected under 35 U.S.C. 103(a) as being unpatentable over Karkmann et al. (Journal of Immunological Methods, 1999) or Lollini et al. (Immunological Blackboard, 1998).

Karkmann et al. and Lollini et al. have been discussed supra. Karkmann et al. and Lollini et al. do not teach that the tyramide amplification signal is 20-fold greater and 50-fold greater than standard flow cytometric methods, as recited in claims 3 and 4, respectively. Karkmann et al. and Lollini et al. also differ from the instant invention in failing to teach a medium comprising 50% fetal bovine serum or 95% fetal bovine serum and 0.2% saponin, as recited in claims 6-9 and 20-22.

However, the discovery of a degree of amplification signal and optimum concentration of elements in a buffer medium, i.e. amount of protein suspension and permeabilizing agent needed to perform a necessary function, (0.5% saponin and 0.5%

BSA in 100 ul phosphate buffer, or 1% BSA in 0.5% TWEEN 20 of PBS) are all result effective variables which the prior art references have shown may be altered in order to achieve optimum results. It has long been settled to be no more than routine experimentation for one of ordinary skill in the art to discover an optimum value of a result effective variable. "[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum of workable ranges by routine experimentation." Application of Aller, 220 F.2d 454, 456, 105 USPQ 233, 235-236 (C.C.P.A. 1955). "No invention is involved in discovering optimum ranges of a process by routine experimentation." Id. at 458, 105 USPQ at 236-237. The "discovery of an optimum value of a result effective variable in a known process is ordinarily within the skill of the art." Application of Boesch, 617 F.2d 272, 276, 205 USPQ 215, 218-219 (C.C.P.A. 1980). Since Applicant has not disclosed that the specific limitations recited in instant claims 3-4, 6-9, and 20-22 are for any particular purpose or solve any stated problem and the prior art teaches that tyramide amplification methods often vary according to the type of cell sample being analyzed and various matrices and parameters appear to work equally as well, absent unexpected results, it would have been obvious for one of ordinary skill to discover the optimum workable ranges of the methods disclosed by the prior art by normal optimization procedures.

7. No claims are allowed.

**Remarks**

Art Unit: 1641

8. Prior art made of record are not relied upon but considered pertinent to the applicants' disclosure:

Chao et al. (Cytometry, 1996) compares immunofluorescence signal between cell surface antigen application and intracellular analyte staining in amplification methods by enzyme catalyzed deposition of tyramide (fluorescent reporter substrate) (see specifically the Abstract and page 50-51).

McIntyre et al. (Journal of Immunological Methods, 1994) teach quantitation of intracytoplasmic cytokines using two-color, immunofluorescent flow cytometry.

Hopman et al. (The Journal of Histochemistry and Cytochemistry, 1998) teach preparation of various tyramide conjugates for use in CARD amplification.

LI et al. (Proceedings of the American Association for Cancer Research Annual Meeting, March 2000) teach measuring DNA adduct by dual fluorescence labeling, laser scanning cytometry, and tyramide signal amplification.

Moritoyo et al. (Journal of Neurovirology, June 1999) teach detecting HTLV-I p40 protein in a method of immunohistochemistry combined with tyramide signal amplification.

Bobrow et al. (US 5,196,306) disclose a method to catalyze reporter deposition to improve detection of analyte.

Connelly et al. (US 5,442,277) discloses a cell fixative composition for use in staining cells without destroying the cell surface. The composition includes a fixative and a permeabilizer, i.e. dimethylsulfoxide, in the compositions.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gailene R Gabel whose telephone number is (703) 305-0807. The examiner can normally be reached on Monday-Thursday 6:00 AM to 3:30 PM and alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long V. Le can be reached on (703) 305-3399. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-4242 for regular communications and (703) 308-4242 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

Gailene R. Gabel  
July 10, 2002 *cf*

*Christopher L. Chin*

CHRISTOPHER L. CHIN  
PRIMARY EXAMINER  
GROUP 1800/1641